PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032
Under the Reperwork Reduction As at 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| TRADENAR | | | | Complete ! Known | | | | | | | |
|--|--|---|---|--------------------|---------------|--------------------------|--|--------------------------|----------------|--|--|
| FEE TRANSMITTAL for FY 2003 | | | | Complete if Known | | | | | | | |
| | | | | Application Number | | | | 09/779,508-Conf. #3671 | | | |
| | į | Filing Date | | | | February 9, 2001 | | | | | |
| , | First Named Inventor | | | Yongjun J. Hu | | | | | | | |
| | Examiner Name | | | | | B. Truong | | | | | |
| Applica | Group Art Unit | | | | | 2875 | | | | | |
| TOTAL AMOU | Attorney Docket No. | | | | э. | M4065.0134/P134-A | | | | | |
| METHO | FEE CALCULATION (continued) | | | | | | | | | | |
| | 3. ADDITIONAL FEES | | | | | | | | | | |
| | Check X Credit Money Other None 3. ADDITIONAL FEES | | | | | | | | | | |
| χ Deposit Ac | | - F-414. | O | F474 | | | | | | | |
| Deposit Account | Fee | Fee | | | | | | | | | |
| Account Number 04-1073 | | | (\$) | Code | (\$) | | Fee Desc | cription | Fee Paid | | |
| Deposit [Account | 1051 | 130 | 2051 | 65 | Surcharge | - late filing fe | e or oath | | | | |
| Name Oshinsky LLP | | | 50 | 2052 | 25 | Surcharge | - late provision | onal filing fee or cover | | | |
| The Commissioner is hereby authorized to: (check all that apply) | | | - | LUUL | | sheet. | | | | | |
| X Charge fee(s) indicated below X Credit any overpayments | | | 130 | 1053 | 130 | Non-Englis | lish specification | | | | |
| X Charge any additional fee(s) during the pendency of this application | | | 2,520 | 1812 | 2,520 | For filing a r | a request for ex parte reexamination | | | | |
| Charge fee(s) indicated below, except for the filling fee | | | 920° | 1804 | 920* | | publication o | of SIR prior to | | | |
| to the above-identified deposit account. | | | 1,840* | 1805 | 1.840* | Examiner a Requesting | action publication o | of SIR after | | | |
| <u>, </u> | | | | | • | Examiner a | | - · · · · | | | |
| FEE CALCULATION 1. BASIC FILING FEE | | | 110 410 | 2251 2252 | 55 205 | | for reply within | n nirst month | \$410.00 | | |
| | nall Entity | 1252 1253 | 930 | 2253 | 465 | | for reply within | | \$410.00 | | |
| Fee Fee Fe | ee Fee Fee Description | 1254 | 1,450 | 2254 | | | art in | n fourth month | | | |
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| l l | 02 165 Design filing fee | 1255 1401 | 1,970 320 | 2255 2401 | | Notice of A | for reply within | i iitti mogu | | | |
| 1003 520 20 | | 1402 | 320 | 2402 | 160 | | ppeal of in support o | ر ا | 곢 | | |
| 1004 750 20 | | 1403 | 280 | 2403 | 140 | * | r oral hearing | fran appeal | ن ا | | |
| 1005 160 20 | 05 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to i | institute a pub | lic use proceeding | 旦 | | |
| | 1452 | 110 | 2452 | 55 | | revive – unav | oidable [7] | V | | | |
| | 1453 | 1,300 | 2453 | 650 | Petition to | revive - uninte | | | | | |
| 2. EXTRA CLA | 1501 | 1,300 | 2501 | 650 | Utility issue | fee (or reissu | ıe) الجن (ıe | | | | |
| _ | 1502 | 470 | 2502 | 235 | Design issu | ue fee | 2800 | | | | |
| Total Claims 18 | 320 =x= | 1503 | 630 | 2503 | 315 | Plant issue | fee | 00 | | | |
| Independent 3 Claims | -3 =x= | 1460 | 130 | 1460 | 130 | Petitions to | the Commiss | sioner | | | |
| Multiple Dependent = | | | 50 | 1807 | 50 | Processing | fee under 37 | CFR 1.17(q) | | | |
| Large Entity Small Entity | | | 180 | 1806 | 180 | Submission | n of Informatio | n Disclosure Stmt | | | |
| Fee Fee Fe | Fee Description | 8021 | 40 | 8021 | 40 | | | ssignment per | | | |
| 1202 18 220 | | 1809 | 750 | 2809 | 375 | Filing a sub | ing a submission after final rejection | | | | |
| 1201 84 220 | 01 42 Independent claims in excess of 3 | | 1 | | | • | 37 CFR 1.129(a)) For each additional invention to be | | | | |
| 1203 280 220 | | 1810 | 750 | 2810 | 375 | examined (| 37CFR 1.129 | (b)) | | | |
| 1204 84 220 | 04 42 ** Reissue independent claims over original patent | 1801 | 750 | 2801 | 375 | - | st for Continued Examination (RCE) 750.00 | | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 | | | 1802 900 1802 900 Request for expedited examination of a design application | | | | | | | | |
| 1 | Other f | Other fee (specify) | | | | | | | | | |
| | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) \$1,160.00 | | | | | | | | | | |
| **or number previ | | | | | | | | | | | |
| SUBMITTED BY | $\overline{\mathbf{x}}$ | | | | | Complete (| if applicable) | | | | |
| Name (Print/Type) | | ration No ey/Agent) | | 371 196 | | Telephone | (202) 828-2232 | | | | |
| Signature | 5 | | | | | Date | June 2, 2003 | | | | |

Application No.: 09/779,508 Docket No.: M4065.01

Prelim. Amendment Dated June 2, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Patent Application of:

ongjun J. Hu

pplication No.: 09/779,508

Group Art Unit: 2879

Examiner: Bao Q. Truong

Filed: February 9, 2001

For: APPARATUS AND METHOD FOR FORMING COLD-CATHODE FIELD

EMISSION DISPLAYS

PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115

Box AF

Commissioner for Patents Washington, DC 20231

Dear Sir:

Preliminary to continued examination, please amend the above-captioned application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper